Illinois Liquor Control Commission



Pat Quinn Governor

100 W. RANDOLPH ST, SUITE 7-801 CHICAGO, ILLINOIS 60601

PH: 312-814-2206 FAX: 312-814-2241

TDD: 312-814-1844

101 W. JEFFERSON ST, SUITE 3-525 SPRINGFIELD, ILLINOIS 62702 PH: 217-782-2136 FAX: 217-524-1911

WEB SITE: www.state.il.us/LCC

APPLICATION FOR STATE OF ILLINOIS MANUFACTURER'S LIQUOR LICENSE

DEFINITIONS: A manufacturer is every brewer, fermenter, distiller, rectifier, wine maker, blender, processor, bottler or person who fills or refills an original package, whether for himself or for another, and others engaged in brewing, fermenting, distilling, rectifying or bottling alcoholic liquors as above defined. To manufacture means to distill, rectify, ferment, brew, make, mix, concoct, process, blend, bottle or fill an original package with an alcoholic liquor, whether for oneself or for another, and includes blending but does not include the mixing or other preparation of drinks for serving by those persons authorized and permitted in this Act to serve drinks for consumption on the premises where sold. All containers or packages of blended alcoholic liquors shall have affixed thereto a label setting forth and stating clearly the names of all ingredients which the blended alcoholic liquors offered for sale shall contain.

CLASS 1: DISTILLER

A Distiller is a person who distills, ferments, brews, makes, mixes, concocts, processes, blends, bottles or fills an original package with any alcoholic liquor. A distiller may make sales and deliveries of alcoholic liquor to distillers, rectifiers, importing distributors, distributors, and non-beverage users and to no other licensees. This includes a manufacturer of wine, but does not include a manufacturer of beer or bottler of wine. SUPPORTING DOCUMENTS REQUIRED:

- ENCLOSED REGISTRATION STATEMENT;
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT <u>WWW.TTB.GOV</u> TO DOWNLOAD THE F 5100.31 APPLICATION FORM:
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS:

 a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION—REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

FEE:

\$3,600.00

\$3,600,00

\$900.00

\$600.00

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION—MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

SEE SECTION 8 FOR DEFINITION

☐ CLASS 2: RECTIFIER

A Rectifier is any person who rectifies, ferments, brews, makes, mixes, concocts, processes, blends, bottles or fills an original package with any alcoholic liquor, other than by original or continuous distillation. **SUPPORTING DOCUMENTS REQUIRED:**

- ENCLOSED REGISTRATION STATEMENT;
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT <u>WWW.TTB.GOV</u>
 TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS:

 a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION—REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION—MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

FEE:

SEE SECTION 8 FOR DEFINITION

☐ CLASS 3: BREWER

A Brewer is any person who is engaged in the manufacture of beer. A brewer may make sales and deliveries of beer to importing distributors and distributors, in accordance with the provisions of the Illinois Liquor Control Act. **SUPPORTING DOCUMENTS REQUIRED:**

- **ENCLOSED REGISTRATION STATEMENT;**
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT <u>WWW.TTB.GOV</u>
 TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS:

 a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION—REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION—MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

SEE SECTION 8 FOR DEFINITION

☐ CLASS 4: FIRST-CLASS WINE MANUFACTURER FEE:

A wine-manufacturer means a person who is engaged in the manufacture of wine. A first-class wine-manufacturer may make sales and deliveries of up to **50,000** gallons of wine to manufacturers, importing distributors and distributors, and to no other licensees. **REQUIRED DOCUMENTS:**

- ENCLOSED REGISTRATION STATEMENT;
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT <u>WWW.TTB.GOV</u> TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS:

 a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION—REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION—MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

SEE SECTION 8 FOR DEFINITION

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CLASS 5: SECOND-CLASS WINE MANUFACTURER

A wine-manufacturer means a person who is engaged in the manufacture of wine. A second-class wine-manufacturer may make sales and deliveries of more than 50,000 gallons of wine to manufacturers, importing distributors and distributors and to no other licensees. SUPPORTING DOCUMENTS REQUIRED:

- ENCLOSED REGISTRATION STATEMENT;
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT WWW.TTB.GOV TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS: a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION— REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

FEE:

\$1,200.00

\$600.00

\$1,200.00

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION— MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

SEE SECTION 8 FOR DEFINITION

CLASS 6: FIRST-CLASS WINE MAKER

A first-class wine-maker's license shall allow the manufacturer of up to 50,000 gallons of wine per year, and the storage and sale of such wine to distributors and retailers in the state and to persons without the State, as may be permitted by law. SUPPORTING DOCUMENTS

FEE:

ENCLOSED REGISTRATION STATEMENT;

REQUIRED:

- COPY OF FEDERAL LABEL APPROVAL(S). VISIT WWW.TTB.GOV TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS: a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION— REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION— MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

FEE:

SEE SECTION 8 FOR DEFINITION

CLASS 7: SECOND CLASS WINE MAKER

A wine-maker is a person engaged in the making of between 50,000 and 100,000 gallons of wine annually. A second-class wine-maker's license shall allow the manufacture of between 50,000 and 100,000 gallons of wine per year, and the storage and sale of such wine to distributors in this State and to persons without the State, as may be permitted by law. A second class wine-maker's license shall allow the sale of no more than 10,000 gallons of the licensee's wine directly to retailers. SUPPORTING DOCUMENTS REQUIRED:

- **ENCLOSED REGISTRATION STATEMENT;**
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT <u>WW</u>W.TTB.GOV TO DOWNLOAD THE F 5100.31 APPLICATION FORM:
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS: a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION— REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION— MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

FEE:

SEE SECTION 8 FOR DEFINITION

CLASS 8: LIMITED WINE MANUFACTURER

A limited wine-manufacturer may make sales and deliveries not to exceed 40,000 gallons of wine per year to distributors and to nonlicensees in accordance with the provisions of the Illinois Liquor Control Act. A limited wine manufacturer uses only grapes, berries, other fruits, fruit products, honey and vegetables produced or grown in Illinois, except as defined in the Illinois Liquor Control Act, Section 5/1-3.31. SUPPORTING DOCUMENTS REQUIRED:

- **ENCLOSED REGISTRATION STATEMENT;**
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT WWW.TTB.GOV TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS: a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION— REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054).

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION— MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

FEE:

SEE SECTION 8 FOR DEFINITION

CLASS 9: CRAFT DISTILLER

A craft distiller license shall allow the manufacture of up to 15,000 gallons of spirits by distillation per year and the storage of such spirits. If a craft distiller licensee is not affiliated with any other manufacturer, then the craft distiller licensee may sell such spirits to distributors in this State and non-licensees to the extent permitted by any exemption approved by the Commission persuant to Section 6-4 of the Liquor Control Act. Any craft distiller licensed under this Act how who on the effective date (July 28, 2010) of this amendatory Act of the 96th General Assembly was licensed as a distiller and manufactured no more spirits than permitted by this Section shall not be required to pay the initial licensing fee. SUPPORTING DOCUMENTS REQUIRED:

- ENCLOSED REGISTRATION STATEMENT;
- COPY OF FEDERAL LABEL APPROVAL(S). VISIT WWW.TTB.GOV TO DOWNLOAD THE F 5100.31 APPLICATION FORM;
- TAX BOND ACQUIRED BY ONE OF THE FOLLOWING ENCLOSED FORMS: a) RL-1 TAX STATEMENT OF LIABILITY; b) REG-4-A (LIQUOR GALLONAGE TAX BOND) CERTIFICATE OF DEPOSIT; or c) REG-4-D LETTER OF CREDIT BOND.

IF APPLICABLE, ENCLOSED STATEMENT OF REPRESENTATION— REGISTRATION OF MANUFACTURER'S AGENT (IL 567-0054)

IF APPLICABLE, ENCLOSED APPLICATION FOR REGISTRATION— MANUFACTURER'S REGISTERED AGENT (IL 567-0053).

SEE SECTION 8 FOR DEFINITION

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\$1.800.00

\$120.00

FOR OFFICE
USE ONLY

	LICENSE NO.
DATE ISSUED	EXPIRATION DATE

COUNTER |

1.

PLICANT - CORPORATE INFORMATION	If you want your renewal application, your license
	certificate and other ILCC correspondence sent to your "corporate" address, please check this box.
This number is used for verification purposes only. If you do not have	. The FEIN is a nine-digit number issued by the U.S. Internal Revenue Service an FEIN number, call 1-800-829-3676 for general information on how to application for your FEIN number, the Commission will accept your application.
FEIN #	
LLINOIS BUSINESS TAX NUMBER (SALES TAX ACCOUN	NT NUMBER)
Enter the eight-digit Illinois Dept. of Revenue Business Tax (Sales LICENSE TO BE ISSUED. If you need to obtain this number, visit wo lf you have any questions, call 217-785-3707.	Tax Account) Number. YOU MUST HAVE THIS NUMBER IN ORDER FOR www.tax.illinois.gov and click on "Businesses", and then "Business Registration"
ILLINOIS BUSINESS TAX #	
FELEPHONE Enter the area code/telephone number/extension of the corporation,	nartnarchin atc
AREA CODE/TELEPHONE NO.	partitersing, etc.
() EXT.	
COUNTY	
Enter the county where the corporation, partnership etc. is located.	
COUNTY	
CORPORATE NAME (Also list DBA name if different from	n corporate name)
•	hip, corporation (Illinois, national, or foreign), or limited liability company in th
CORPORATE NAME	DBA NAME

ADDRESS	CITY	STATE	ZIP CODE

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2. STATUS OF BUSINESS

Check the applicable box (assumed name/sole proprietorship, partnership, Illinois corporation, foreign corporation, limited liability company) which corresponds to your business' official papers filed with the Office of the Secretary of State.

Based on the box that you check, provide the date of the filing of the sole proprietorship/assumed name with the county clerk; in the case of a copartnership, the date of formation of the partnership; in the case of an Illinois corporation, the date of its incorporation; in the case of a foreign corporation, the foreign state where it was incorporated and the date, as well as the date of its becoming qualified under the "Business Corporation Act of 1983" to transact business in the State of Illinois; in the case of a limited partnership, the date of formation of such partnership; or in the case of a limited liability company, the date of formation of such entity.

	A. ☐ ASSUMED NAME B. ☐ PARTNERSHIP						
	C. I ILLINOIS CORPO			F FORMATION: F INCORPORATION:			
	D. ☐ FOREIGN CORP				DATE QUALIFIED TO DO BUSINE	CC IN II I	INOIC
	E. LIMITED LIABILI			DRMED:		SS IN ILL	INOIS:
	- CIIVITED EIADIEI	TT COMITAINT	JAILI	JANES.			
<u>OV</u>	WNERSHIP INFO	RMATION					
					us described under Question 2. This informeholders with interests equal to or exceed		ust be submit
	any stock), shareholder or more than 5% for all corporation, if any, which is held to	wning in the aggre trate shareholders by persons who ha	egate ed s), and/o ave less	ual to or more than 5% of the r manager or agent conducting than a 5% interest. If addition	rietor, partner, corporate officer or director stock, (including officers, directors and st the business. Indicate the total percentage nal space is needed, provide information n, check Question No. 6 - Eligibility	ockholde ge of stock on a sepa	rs of equal to c of the corpo
	For each owner/officer/pa position, home telephone owning less than 5%, indi	number, and per	centage	ownership. Percentage owner	city, state, zip code, social security numbership should equal 100%. If there are a	er, date o number	f birth, sex, ti of sharehold
A.	NAME (LAST, FIRST, MIDDLE I	NITIAL)		HOME ADDRESS	СІТУ	STATE	ZIP
	(/ /	,					
	SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/TELEPHONE	NO.	% OWNED
					()		
В.	NAME (LAST, FIRST, MIDDLE I	NUTIAL \		HOME ADDRESS	СІТУ	STATE	ZIP
	NAME (LASI, FIRSI, MIDDLE I	NITIAL)		HOME ADDRESS	CITY	SIAIE	ZIP
	SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/TELEPHONE	NO.	% OWNED
					()		
C.	NAME (LAST, FIRST, MIDDLE I	NITIAL)		HOME ADDRESS	СІТУ	STATE	ZIP
	SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/TELEPHONE	NO.	% OWNED
					()		
D.	NAME (LAST, FIRST, MIDDLE I	NITIAL)		HOME ADDRESS	СІТУ	STATE	ZIP
	NAME (EAS), FIRST, MIDDLE I	MITIALI		HOME ADDICESS	GITT	JIAIL	
	SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/TELEPHONE	NO.	% OWNED
	SOCIAL SECURITY NO.	DATE OF BIRTH	SEX	TITLE/POSITION	AREA CODE/TELEPHONE	NO.	% OWNED

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4. MISCELLANEOUS INFORMATION

A. WAREHOUSING

If any of your inventory is warehoused, provide the name, street address, city, state, Zip Code and county of the warehouse.

STATE	ZIP CODE	COUNTY
	SIAIE	STATE ZIP CODE

B. LEASED PREMISES

If you lease your premises, the lease must cover the full term of the license. If you lease, provide the landlord's name, telephone number, street address, city, state, Zip Code and county.

LANDLORD NAME				AREA CODE/TELEPHONE NO.			
			()			
ADDRESS	CITY	STA	TE	ZIP CODE	COUNTY		

5. LICENSE HISTORY

A. FIRST LICENSE APPLICATION - LICENSE HISTORY

Indicate by checking the correct box whether or not this is the corporation's, sole proprietorship's, etc's first application for a State liquor license at any premises. If you check "no", indicate the date of your first State liquor license application. Also indicate whether the license was granted, denied or withdrawn. Provide the address of your first State liquor license application. If you have ever had a license application denied or if you ever withdrew an application, please provide a written statement describing the reason and circumstances.

IS THIS YOUR F	FIRST STATE LICEN	ISE APPLICATION	1?	YES	NO	
IF NO, PROVIDE DATE FIRST APPLIED:						
DISPOSITION:	☐ GRANTED	☐ DENIED	□ WITHD	RAWN		
ADDRESS OF F	FIRST STATE APPLI	CATION:				

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6. ELIGIBILITY QUESTIONS

		KED, THE A	APPLICATION WILL BE REJECTED. If any question is checked "yes", a written, detailed explanation is required and ication.
6-18	YES	□ NO	ARE YOU DELINQUENT IN THE PAYMENT OF ANY ILLINOIS BUSINESS TAXES (SALES, WITHHOLDING, ETC.)?
6-22	YES	□ NO	HAVE YOU EVER APPLIED FOR AND BEEN DENIED A LIQUOR LICENSE?
6-23	YES	□ №	HAVE YOU HAD ANY PREVIOUS LIQUOR LICENSE REVOKED?

These questions apply to the applicant and any other person listed under Question 3. These questions MUST be answered. IF THE QUESTIONS

6-24 U YES U NO HAVE YOU EVER BEEN CONVICTED OF A FELONY?

6-25 U YES U NO HAVE YOU EVER BEEN CONVICTED OF A GAMBLING OFFENSE AS DEFINED UNDER SECTION 5/6-2 OF THE ACT WHICH INCLUDES OFFENSES ENUMERATED IN 720 ILCS 5/28-1(a)1-11, "GAMBLING;" 720 ILCS 5/28-1.1(a)-(d) "SYNDICATED GAMBLING;" AND 720 ILCS 5/28-3 "KEEPING A GAMBLING PLACE"?

6-26 U YES U NO DO YOU POSSESS A CURRENT FEDERAL WAGERING STAMP? (ISSUED BY THE UNITED STATES INTERNAL REVENUE SERVICE TO TAX WAGERING ACTIVITY)

OTHER LICENSEES, REPRESENTATIVES OF A LICENSEE, OR SUPPLIERS OF ALCOHOLIC PRODUCTS?

6-30 YES NO IF OPERATING AS A SOLE PROPRIETORSHIP OR A PARTNERSHIP, ARE YOU OR YOUR PARTNER(S) CURRENTLY NOT CITIZENS OF THE UNITED STATES OR RESIDENT ALIENS WITH LEGAL STATUS?

HAVE YOU RECEIVED OR BORROWED MONEY OR ANYTHING OF VALUE DIRECTLY OR INDIRECTLY FROM ANY

7. HOURS OF OPERATION

6-28 YES

List the daily hours open for business. This information will assist Commission field agents in choosing an inspection time which causes the least disruption to the business.

MON	TUES	WED	THURS	FRI	SAT	SUN

8. MANUFACTURER'S REGISTERED AGENT REQUIREMENTS

If you have agents, representatives or persons acting on your behalf in Illinois that sell or discuss pricing terms of alcoholic liquor, you are required to register each of these individuals by submitting forms IL 567-0053 "Application for Registration - Manufacturer's Registered Agent" and IL 567-0054 "Statement of Representation - Registration of Manufacturer's Agent".

9. SIGNATURE/TITLE/DATE

Please sign and date the application form and provide your title with the organization. The application must be signed by an owner, an officer, a partner or an officially authorized agent of the business. The signature must be an original, rubber stamps are not accepted.

I, THE UNDERSIGNED APPLICANT OR AUTHORIZED AGENT THEREOF, SWEAR OR AFFIRM THAT: THE MATTERS STATED IN THE FOREGOING APPLICATION ARE TRUE AND CORRECT; THEY ARE MADE UPON MY PERSONAL KNOWLEDGE AND INFORMATION; THEY ARE MADE FOR THE PURPOSE OF REQUESTING THE STATE OF ILLINOIS TO ISSUE THE LICENSE HEREIN APPLIED FOR; THE APPLICANT IS QUALIFIED AND ELIGIBLE TO OBTAIN THE LICENSE APPLIED FOR; AND THE APPLICANT WILL NOT VIOLATE ANY OF THE LAWS OF THE UNITED STATES OF AMERICA OR THE STATE OF ILLINOIS, IN PARTICULAR, THE ILLINOIS LIQUOR CONTROL ACT, RULES AND REGULATIONS, AND THE CIVIL RIGHTS SECTIONS THEREOF.

FURTHER, I AGREE TO NOTIFY THIS COMMISSION WITHIN 30 WORKING DAYS OF CHANGES IN ANY OF THE ABOVE INFORMATION. (NOTE: IF THE PERSON SIGNING THIS APPLICATION IS NOT LISTED IN SECTION 3, THEY MUST PROVIDE THE STATE WITH THEIR PERSONAL INFORMATION AS INDICATED IN SECTION 3 EVEN IF THEY DO NOT OWN 5% OR MORE OF THE BUSINESS.)

SIGNATURE OF APPLICANT/AUTHORIZED AGENT	TITLE/POSITION	DATE

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Registration Statement

(Illinois Compiled Statutes, Chapter 235)

TO THE ILLINOIS LIQUOR CONTROL COMMISSION

Pursuant to the requirement of Section 5/6-9 of the Illinois Liquor Control Act the undersigned, a

(Insert -- Manufacturer, Distributor, Importing Distributor, or Non-resident Dealer)

does hereby register with said Commission the following named persons or companies as being the only ones to whom the undersigned has granted the right to sell or distribute at wholesale within the State of Illinois, one or more of those alcoholic liquors which bear trade-marks, brands or names owned or controlled by the undersigned. The undersigned does hereby further register opposite the name of said persons or companies, the respective trademarks, brands or names, owned or controlled by the undersigned, concerning which said persons have been given such distributing rights and the rspective geographical territories for which such distributing rights have been given to said persons or companies, and the period of time for which such rights are granted to such person.

NAME, ADDRESS, CITY, STATE AND ZIP CODE OF WHOLESALER	TRADE-MARK BRAND, OR NAME OF ITEM	GEOGRAPHICAL TERRITORY	TIME PERIOD

IMPORTANT NOTICE

This state agency is requesting disclosure of information that is necessary to accomplish the statutory purpose as outlined under the Illinois Liquor Control Act, Ch. 235, Ill. Comp. Stat., 5/6-9. Disclosure of this information is MANDATORY.

Failure to provide any information will result in nonissuance of your license and/or nonregistration of your products. This form has been approved by the Forms Management Center.

CORPORATE NAME:		
ADDRESS:		
,. <u></u>	(Street Number)	
	(City or Town)	
SIGNED BY:	(Title)	
DATE:	,	
STATE LICENSE #	EXP. DATE	

General Information

Who must submit a bond?

Form REG-4-D, Financial Institution Irrevocable Letter of Credit Bond, **or** Form REG-4-A, Financial Responsibility Bond, is required to complete your registration to be licensed as a

- · cigarette distributor
- cigarette machine operator
- liquor distributor
- direct wine shipper
- liquor airline
- tobacco products distributor
- motor fuel distributor
- · motor fuel supplier
- motor fuel receiver

Note: International Fuel Tax Agreement (IFTA) carriers may be required to obtain a bond.

You must complete **either** Form REG-4-D **or** Form REG-4-A to obtain a bond. You will not be issued a certificate of registration and cannot be legally registered to do business in Illinois until we receive your bond.

How do I obtain a bond using Form REG-4-D?

You must provide Form REG-4-D to the financial institution that will be providing your bond. A separate bond is required for each location.

What is required for Form REG-4-D?

All signatures and seals must be original. We will not accept copies, faxes, etc., as proof of bond coverage. Duplicate originals must be marked as such and must meet the same requirements as the original bond form.

If any of the above requirements are not met, we will not accept the bond and it will be returned for correction.

Where do I send my Form REG-4-D?

Mail your completed Form REG-4-D with any required attachments to us at



CENTRAL REGISTRATION DIVISION 3-222 ILLINOIS DEPARTMENT OF REVENUE PO BOX 19039 SPRINGFIELD IL 62794-9039

If you have questions regarding Form REG-4-D, visit our website at **tax.illinois.gov** or call us weekdays between 8:00 a.m. and 4:30 p.m. at **217** 558-7425.

Specific Instructions

Part 1: Financial institution letter of credit bond type and number

Line a, Bond type - The bond type must match the tax type for which the bond is being issued.

Line b, Financial institution irrevocable letter of credit number - This number is assigned by the financial institution and must be present on the bond.

Line c, Bond amount - The amount of bond coverage must be entered on this line.

Part 2: Taxpayer and financial institution information

Taxpayer - The name and address must be identical to the information that you have registered with us. The address must be the physical location of your business; mailing addresses, PO Boxes, and other addresses are not acceptable.

Financial institution - The name and address of the financial institution executing the bond must be present.

Part 3: Effective and maturity date of bond

Effective date - This is the date the bond coverage will begin.

Maturity date - This is the date on which the letter of credit will mature.

Part 4: Bond conditions

The letter of credit must be written for a minimum of one year and be automatically renewable for successive one-year periods unless we receive written notice of cancellation 30 days prior to the maturity date.

Part 5: Financial institution officer information

Name, title, and signature - These lines must be completed by the financial institution officer authorizing the letter of credit.

Part 6: Financial institution seal

Financial institution seal - An official seal must be affixed in Part 6. If the financial institution does not have an official seal, a letter, on financial institution letterhead, stating that the financial institution does not have an official seal must accompany the letter of credit.



Financial Responsibility Bond

	1: Financial responsibility bond type and nun	nber	
a b	Bond type:		
b 	Financial responsibility bond number:		
Part We,	t 2: Taxpayer and financial institution inform	ation	(as principal)
vve,	Taxpayer's name and address		(as principal)
	ar	nd	
	Name and address of surety		(as surety)
	ound to the people of the State of Illinois in the penal sum of executors, administrators, successors, and assigns to the		rselves, our
(bond	condition of this bond is that if the principal (taxpayer) identi d type) identified above, in Part 1, pays to the Illinois Depart ipal (taxpayer) under this law, then the bond will become vo	ment of Revenue (IDOR) all amounts becomin	
certif	surety identified above may conditionally cancel this bond a ied mail within days. However, the surety is not dischar accrue before the days expires.	t any time by filing a written notice with IDOR b ged from any liability previously accrued under	
Part	t 3: Financial responsibility bond signatures	and seal requirements	
We h	ave signed and sealed this bond on//	-	<u></u> ·
	Principal's seal)	(Surety's seal)	
P	rincipal's (taxpayer) signature	Surety's signature	
Ā	ttorney-in-fact's signature	Countersigned by	
P	resident's or co-partner's signature	Counteresigned by	
c	orporate secretary's signature	Agent for surety	
		Number and street	
		City Sta	ate ZIP
For c	official use only		
D	ate approved://	IDOR Director's signature	
ı i	cense number		



Financial Institution Irrevocable Letter of Credit Bond

Part 1: Financial institution letter of c	redit bond	type and numb	per				
a Bond type:							
	Financial institution irrevocable letter of credit number: Bond amount: \$						
Part 2: Taxpayer and financial instit							
Taxpayer:			inancial insti	tution:			
Name		Name					
Street address		Street address					
City State	ZIP	City		State	ZIP		
Part 3: Effective and maturity date of	of bond	-					
-							
Effective date:// Month Day Year		Maturity date	Month Day Yea	r			
Part 4: Bond conditions			World's Bay Tea				
Part 5: Financial institution officer i The undersigned officer of the financial institution i this irrevocable letter of credit; and this financial institution officer, title and signature are required. Name:	identified abov stitution will ho	ve, in Part 2 is duly a onor all drafts on den	authorized by the B nand. The name of	the authorized	financial		
Signature:					_		
Part 6: Financial institution seal The official seal of the financial institution mus	st be affixed b	pelow.					
For official use only							
Date approved:///		IDOR Director's	signature				
License number:							

State of Illinois LIQUOR CONTROL COMMISSION

100 WEST RANDOLPH, SUITE 7-801, CHICAGO, IL 60601 PH: 312-814-2206 FAX: 312-814-2241 TDD (312) 814-1844

STATEMENT OF REPRESENTATION REGISTRATION OF MANUFACTURER'S AGENT

	as		
(Name)		(Title)	
or		hav	ve a contractu
(Nam	e of Manufacturer)		
greement with	(Name of Manufacturer's Ag	ient)	
	(Name of Mandiacturer 3 Ag	city	
o represent and promote our products.	This agreement covers the follow	ving territory(ies):	
understand that under Illinois Law:			
Registration of agents, representa			
by submitting a form to the Commi			
include the name and address of th			
represents, the territory or areas as			
any other questions deemed appro			
made by law or by rule shall be deer rial fact under oath in an application			
false statements, misleading state			
of a registration are grounds for su			
			
Signature of Manufacturer's Agent	Social Security Number	Date of Birth	Date
signature of manufacturer of Agont	Coolar Coolarity Hambon	Date of Birth	Dute
Signature of Manufacturer	Title		Date

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IL 567-0054 (10/2005)

State of Illinois

LIQUOR CONTROL COMMISSION

100 WEST RANDOLPH, SUITE 7-801, CHICAGO, IL 60601 FAX: 312-814-2241 TDD (312) 814-1844 PH: 312-814-2206

APPLICATION FOR REGISTRATION MANUFACTURER'S REGISTERED AGENT

CURRENT LIQUOR LICENSE NO. _ TYPE OR PRINT INFORMATION APPLICATION DATE **APPLICANT'S NAME (Business, Partnership, Corporation) DBA OR TRADE NAME BUSINESS PHONE**) **BUSINESS STREET ADDRESS** CITY STATE ZIP NAME, ADDRESS, PHONE OF MANUFACTURER'S AGENT(S) FOR WHICH IDENTIFICATION CARD IS REQUESTED. FOR EACH INDIVIDUAL LISTED, THE APPLICANT MUST ATTACH A STATEMENT OF REPRESENTATION. ATTACH ADDITIONAL SHEETS, IF NECESSARY. NAME **PHONE** ADDRESS, CITY, STATE, ZIP CODE NAME **PHONE** ADDRESS, CITY, STATE, ZIP CODE NAME **PHONE** ADDRESS, CITY, STATE, ZIP CODE DOES THE APPLICANT OR ASSOCIATE HOLD ANY RETAIL ALCOHOL BEVERAGE LICENSE, OR ANY FINANCIAL OR OTHER INTEREST IN SUCH A LICENSE OR ESTABLICHMENT? □ NO □ YES IF YES, DESCRIBE AND PROVIDE CURRENT LICENSE NUMBER. HAS THE APPLICANT, PARTNERS OR OFFICERS, EVER BEEN CONVICTED OF ANY VIOLATION OF THE ILLINOIS LIQUOR CONTROL ACT OR A FELONY IN THIS STATE, ANY OTHER STATE, OR UNDER FEDERAL LIQUOR LAWS? □ NO ☐ YES IF YES, GIVE FULL DETAILS. SIGNATURE OF APPLICANT DATE PRINT FULL NAME AND TITLE OF APPLICANT NOTE: IDENTIFICATION CARDS MUST BE OBTAINED FOR EACH SALES REPRESENTATIVE EMPLOYED.

IL 567-0053 (10/2005)

CARDS EXPIRE CONCURRENT WITH MANUFACTURER'S LIQUOR LICENSE.